

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants:	David R. Tushie et al.	Examiner:	Mary Cheung
Serial No.:	09/416,252	Group Art Unit:	3694
Filed:	October 12, 1999	Docket:	457.003US3
Customer No.:	21186	Confirmation No.:	5923
Title:	SYSTEM AND METHOD FOR SMART CARD PERSONALIZATION		

---

**INFORMATION DISCLOSURE STATEMENT**

MS RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached PTO 1449 Form be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the PTO 1449 Form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. § 1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement. However, the Commissioner is hereby authorized to charge the required fees to Deposit Account No. 19-0743 in order to have this Information Disclosure Statement considered, if deemed necessary.

Pursuant to 37 C.F.R. § 1.98(a)(2), copies of cited U.S. Patents and Published Applications, and Non-Published Applications identifiable by USPTO Serial Number, are no longer required to be provided to the Office. Applicants acknowledge the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. § 1.98(a)(2).

INFORMATION DISCLOSURE STATEMENT

Serial Number:09/416,252

Filing Date: October 12, 1999

Title: SYSTEM AND METHOD FOR SMART CARD PERSONALIZATION

---

Page 2

Dkt: 457.003US3

The Examiner is invited to contact the Applicants' Representative at the telephone number indicated if there are any questions regarding this communication.

Respectfully submitted,

SCHWEGMAN, LUNDBERG & WOESSNER, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 349-9592

By Ann M. McCrackin  
Ann M. McCrackin  
Reg. No. 42,858